

# Mathematical Aspects of Quantum Information Theory:

## Lecture 3

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# Plan

## 1 Quantum Channels (conclusion)

- CP maps on  $C^*$ -algebras
- Quantum Markov semigroups

## 2 Inequalities

- Uncertainty inequalities
- Monotonicity inequalities
- Lieb's concavity theorem
- Exercises

# Quantum channels

A completely positive, trace preserving (CPTP) map  $\Phi : \mathcal{L}(H) \rightarrow \mathcal{L}(K)$  is also called a **quantum channel** from the system  $H$  to  $K$ .

## Theorem (Kraus representation of quantum channels)

*Let  $H, K$  be finite dimensional quantum systems. Any quantum channel  $\Phi^\dagger$  from  $H$  to  $K$  can be represented via a family of Kraus operators:*

$$\Phi^\dagger(A) = \sum_{x \in \mathcal{X}} B_x A B_x^* \quad \text{for every } A \in \mathcal{L}(H).$$

*One has  $|\mathcal{X}| \leq \dim(H)\dim(K)$ .*

**Strategy:** factorize the dual map  $\Phi : \mathcal{L}(K) \rightarrow \mathcal{L}(H)$  via an auxiliary system  $\tilde{H}$ :

$$\Phi(A) = U^* \pi(A) U,$$

where  $U$  is an isometry and  $\pi$  is a  $*$ -homomorphism.

# Proof (sketch)



# Stinespring representation

Up to isomorphism, one can let

- 1  $\tilde{H} = K \otimes \mathbb{C}^{\mathcal{X}}$ ,
- 2  $\pi(A) = A \otimes \mathbb{1}_{\mathbb{C}^{\mathcal{X}}}$ ,
- 3  $U|\psi\rangle = |\psi\rangle \otimes |0\rangle$ .

This yields the **Stinespring representation** of the quantum channel:

$$\Phi^\dagger(\rho) = \text{tr}_{\mathbb{C}^{\mathcal{X}}} [V(\rho \otimes |0\rangle\langle 0|)V^*],$$

where  $V : H \otimes \mathbb{C}^{\mathcal{X}} \rightarrow K \otimes \mathbb{C}^{\mathcal{X}}$  is unitary.

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# Complete positivity on $C^*$ -algebra

- A linear map  $\Phi : \mathcal{A} \rightarrow \mathcal{B}$  between  $C^*$ -algebras is CP if

$$\sum_{i,j=1}^d b_j^* \Phi(a_i^* a_j) b_j$$

is positive for every  $(a_i)_{i=1}^d \subseteq \mathcal{A}$ ,  $(b_i)_{i=1}^d \subseteq \mathcal{B}$ ,  $d \geq 1$ .

- It coincides with the previous notion when  $\mathcal{A} = \mathcal{L}(H)$ ,  $\mathcal{B} = \mathcal{L}(K)$ .
- $\Phi$  is **unital** if  $\Phi(\mathbb{1}_{\mathcal{A}}) = \mathbb{1}_{\mathcal{B}}$ .
- A state  $\eta : \mathcal{A} \rightarrow \mathbb{C}$  defines a CP unital map:



Repeating the proof of the Kraus representation theorem (with some technicalities because of infinite dimensions!) yields the following:

### Theorem (Stinespring dilation)

Let  $\mathcal{A}$  be a  $C^*$ -algebra and  $H$  be a Hilbert space. Given any CP unital map  $\Phi : \mathcal{A} \rightarrow \mathcal{B}(H)$ , there exist

- 1 a Hilbert space  $\tilde{H}$ ,
- 2 an isometry  $U : H \rightarrow \tilde{H}$ ,
- 3 a  $*$ -homomorphism  $\pi : \mathcal{A} \rightarrow \mathcal{B}(\tilde{H})$ ,

such that, for every  $a \in \mathcal{A}$ ,

$$\Phi(a) = U^* \pi(a) U,$$

and

$$\{\pi(a)U\psi : a \in \mathcal{A}, \psi \in H\} \subseteq \tilde{H} \text{ is dense.}$$

Such a triple  $(\tilde{H}, U, \pi)$  is unique up to isomorphisms.

# The GNS construction

When applied to  $\Phi(a) = \eta(a)$  for a state  $\eta : \mathcal{A} \rightarrow \mathbb{C}$  it yields the following

## Theorem (Gelfand-Naimark-Segal)

Let  $\mathcal{A}$  be a  $C^*$ -algebra and let  $\eta : \mathcal{A} \rightarrow \mathbb{C}$  be a state. Then, there exists

- 1 a Hilbert space  $H$ ,
- 2 a unit norm vector  $|\psi\rangle \in H$ ,
- 3 and a  $*$ -homomorphism  $\pi : \mathcal{A} \rightarrow \mathcal{B}(H)$

such that, for every  $a \in \mathcal{A}$ ,

$$\eta(a) = \langle \psi | \pi(a) \psi \rangle,$$

and  $\{\pi(a) |\psi\rangle\}_{a \in \mathcal{A}} \subseteq H$  is dense.

Such a triple is unique up to isomorphisms.

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- By composing a quantum channel  $\Phi$  from  $H$  into itself, one obtains the analogue of a Markov chain evolution

$$\rho_0, \Phi(\rho_0), \Phi^2(\rho_0), \Phi^3(\rho_0), \dots$$

- For  $\Phi(\rho) = U\rho U^*$  with  $U$  unitary, it is the analogue of a discrete-time **dynamical system**.
- Continuous-time dynamics are described by **quantum Markov semigroups**  $(\Phi^t)_{t \geq 0}$ :
  - for every  $t \geq 0$ ,  $\Phi^t$  is a quantum channel from  $H$  into itself,
  - (semigroup law) for every  $s, t \geq 0$ ,  $\Phi^t \Phi^s = \Phi^{s+t}$ ,
  - (strong continuity) for every  $A \in \mathcal{L}(H)$ ,  $t \mapsto \Phi^t(A)$  is continuous.
- The **generator**  $L$  is defined as:

- If  $H$  is finite-dimensional,  $L$  is a bounded operator with an explicit representation (the **Lindblad** form).
- Stone's theorem describes the generators of semigroups induced by unitary maps:

$$L(A) = -i[H, A]$$

for a suitable **Hamiltonian**  $H$  (self-adjoint but possibly unbounded).

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- Recall that that two **compatible** measurements can be performed in any order and yield observed outcomes with well-defined joint probabilities.
- Uncertainty inequalities **quantify** how much this cannot be done for incompatible measurements.
- Usually they are expressed as a lower bound on the product of the standard deviations for uncompatible observables:

$$\sigma_{\rho}(X)\sigma_{\rho}(Y) \geq \ell_{\rho}.$$

# Commutator and anti-commutator

- Given  $X, Y \in \mathcal{L}(H)$ , define the commutator and anti-commutator as

$$[X, Y] = XY - YX \quad \{X, Y\} = XY + YX,$$

- Notice that

$$XY = \frac{1}{2} \{X, Y\} + \frac{1}{2} [X, Y].$$

- Both are bilinear expressions with respect to  $X$  and  $Y$ , and satisfy

$$[X, Y]^* = [Y^*, X^*] = -[X^*, Y^*], \quad \{X, Y\}^* = \{X^*, Y^*\}.$$

- Hence, if  $X, Y \in \mathcal{O}(H)$  are observables, then  $\{X, Y\}, i[X, Y] \in \mathcal{O}(H)$ .

# Covariance and commutation

- Given  $\rho \in \mathcal{S}(H)$ , with the notation  $(X)_\rho = \text{tr}[X\rho]$ , write

$$\tilde{X} = X - (X)_\rho \mathbb{1}_H, \quad \tilde{Y} = Y - (Y)_\rho \mathbb{1}_H.$$

- Define the **covariance** between  $X$  and  $Y$  as

$$\text{Cov}_\rho(X, Y) = \frac{1}{2}(\{\tilde{X}, \tilde{Y}\})_\rho.$$

- Define the **commutation**

$$\text{Com}_\rho(X, Y) = \frac{1}{2}(i[\tilde{X}, \tilde{Y}])_\rho = \frac{1}{2}(i[X, Y])_\rho,$$

- Notice the identity

$$\text{Cov}_\rho(X, Y) - i \text{Com}_\rho(X, Y) = \text{tr}[\tilde{X}\tilde{Y}\rho]. \quad (1)$$



# Uncertainty inequality in matrix form

- Given  $(X_i)_{i=1,\dots,n} \subseteq \mathcal{O}(H)$ , introduce the two matrices

$$\text{Cov}_\rho, \text{Com}_\rho \in \mathbb{R}^{n \times n},$$

given by

$$\text{Cov}_{\rho,ij} = \text{Cov}_\rho(X_i, X_j), \quad \text{Com}_{\rho,ij} = \text{Com}_\rho(X_i, X_j).$$

- Notice that  $\text{Cov}_\rho$  is symmetric,  $\text{Com}_\rho$  is anti-symmetric.
- The following inequalities hold:

$$\text{Cov}_\rho \geq \pm i \text{Com}_\rho.$$

- Proof:

# Schrödinger-Robertson uncertainty relation

- Specialize to  $n = 2$ , i.e.,

$$\begin{pmatrix} \text{Cov}_\rho(X, X) & \text{Cov}_\rho(X, Y) \\ \text{Cov}_\rho(X, Y) & \text{Cov}_\rho(Y, Y) \end{pmatrix} \geq i \begin{pmatrix} 0 & \text{Com}_\rho(X, Y) \\ -\text{Com}_\rho(X, Y) & 0 \end{pmatrix}.$$

- Equivalently

$$\begin{pmatrix} \sigma_\rho^2(X) & b \\ \bar{b} & \sigma_\rho^2(Y) \end{pmatrix} \geq 0,$$

where  $b = \text{Cov}_\rho(X, Y) - i \text{Com}_\rho(X, Y)$ .

- Taking the determinant, we obtain

$$\sigma_\rho^2(X)\sigma_\rho^2(Y) \geq |b|^2 = |\text{Cov}_\rho(X, Y)|^2 + |\text{Com}_\rho(X, Y)|^2.$$

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- Recall that quantum channels correspond to Markov kernels  $(N(\omega, \cdot))_{\omega \in \mathcal{X}}$ : we investigate analogues of standard functional inequalities.
- Example:** since  $N(\omega, \cdot)$  is a probability density, given  $f : \mathcal{X} \rightarrow \mathbb{C}$ , Cauchy-Schwarz inequality yields

$$|(Nf)(\omega)|^2 \leq N(|f|^2)(\omega).$$

- Question:** does it hold

$$\Phi(A)^* \Phi(A) \leq \Phi(A^* A)$$

for CP unital maps  $\Phi : \mathcal{L}(H) \rightarrow \mathcal{L}(\tilde{H})$  and  $A \in \mathcal{L}(H)$ ?

- The above indeed holds and is called **Kadison-Schwarz** inequality.
- To prove it, we use that  $\Phi$  is CP and apply  $\Phi \otimes \mathbb{1}_{\mathcal{L}(\mathbb{C}^2)}$  to

$$M = \begin{pmatrix} A^* A & A^* \\ A & \mathbb{1}_H \end{pmatrix}.$$

But why it is positive?

# A general criterion for positivity

## Lemma

Let  $H$  be a finite dimensional Hilbert space,  $X, Y \in \mathcal{O}(H)$ ,  $K \in \mathcal{L}(H)$  with  $Y$  *positive and invertible*. Then,

$$M = \begin{pmatrix} X & K \\ K^* & Y \end{pmatrix}$$

is positive if and only if its Schur complement

$$X - KY^{-1}K^* \in \mathcal{O}(H)$$

is positive.

# Proof

- $M$  is positive if and only if, for every pair  $|\psi_0\rangle, |\psi_1\rangle \in H$ ,

$$\langle \psi_0 | X \psi_0 \rangle + \langle \psi_1 | K^* \psi_0 \rangle + \langle \psi_0 | K \psi_1 \rangle + \langle \psi_1 | Y \psi_1 \rangle \geq 0. \quad (2)$$

- Assume that  $M$  is positive:

- Viceversa, assume that the Schur complement is positive:

# Proof of Kadison-Schwarz inequality

- 1 The operator

$$M = \begin{pmatrix} A^*A & A^* \\ A & \mathbb{1}_H \end{pmatrix}.$$

is positive since  $A^*A - A^*\mathbb{1}_H^{-1}A = 0$ .

- 2 By complete positivity,

$$\begin{pmatrix} \Phi(A^*A) & \Phi(A^*) \\ \Phi(A) & \mathbb{1}_{\tilde{H}} \end{pmatrix} \geq 0.$$

(also using  $\Phi(\mathbb{1}_H) = \mathbb{1}_{\tilde{H}}$ )

- 3 Use again the criterion to conclude.

- **Beware:** many “natural” inequalities valid for functions do not extend to operators, e.g.

$$0 \leq A \leq B \Rightarrow A^2 \leq B^2.$$

- It holds however

$$0 < A \leq B \Rightarrow A^{-1} \geq B^{-1}.$$

Proof:

- Moreover,

$$0 \leq A \leq B \Rightarrow \sqrt{A} \leq \sqrt{B}.$$

(the proof is elementary but not very illuminating, please check the lecture notes)



# Operator geometric means

- For  $t \in [0, 1]$ , and positive operators  $A, B \in \mathcal{O}_{\geq}(H)$ , define

$$A\sharp_t B = A^{1/2}(A^{-1/2}BA^{-1/2})^t A^{1/2},$$

- If  $A$  and  $B$  commute, then

$$A\sharp_t B = A^{1-t}B^t.$$

(use that they can be simultaneously diagonalized)

- For  $s, t \in [0, 1]$ , one has

and

### Proposition (Monotonicity of operator means)

Let  $H, K$  be finite dimensional Hilbert spaces,

- let  $A, A', B, B' \in \mathcal{O}_{\geq}(H)$ ,
- and  $\Phi : \mathcal{L}(H) \rightarrow \mathcal{L}(K)$  be CP.

Then, for any  $t \in [0, 1]$ ,

$$A' \geq A, B' \geq B \quad \Rightarrow \quad A' \sharp_t B' \geq A \sharp_t B,$$

and

$$\Phi(A) \sharp_t \Phi(B) \geq \Phi(A \sharp_t B).$$

# Proof (case $t = 1/2$ )

# Proof (general case)

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## Theorem (Lieb's concavity theorem, monotonicity version)

Let  $H, \tilde{H}$  be finite dimensional quantum systems,

- let  $\Phi : \mathcal{L}(\tilde{H}) \rightarrow \mathcal{L}(H)$  be CP and unital
- so that  $\Phi^\dagger$  is a quantum channel from  $H$  to  $\tilde{H}$ ,
- let  $X, Y \in \mathcal{O}_{\geq}(H)$  be positive,  $K \in \mathcal{L}(\tilde{H})$ .

Then, for every  $t \in [0, 1]$ ,

$$\mathrm{tr}[\Phi(K)^* X^{1-t} \Phi(K) Y^t] \leq \mathrm{tr}[K^* \Phi^\dagger(X)^{1-t} K \Phi^\dagger(Y)^t].$$

In the case  $K = \mathbb{1}_H$ , we have  $\Phi(\mathbb{1}_H) = \mathbb{1}_{\tilde{H}}$ , hence the inequality becomes

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- so that  $\Phi^\dagger$  is a quantum channel from  $H$  to  $\tilde{H}$ ,
- let  $X, Y \in \mathcal{O}_{\geq}(H)$  be positive,  $K \in \mathcal{L}(\tilde{H})$ .

Then, for every  $t \in [0, 1]$ ,

$$\mathrm{tr}[\Phi(K)^* X^{1-t} \Phi(K) Y^t] \leq \mathrm{tr}[K^* \Phi^\dagger(X)^{1-t} K \Phi^\dagger(Y)^t].$$

In the case  $K = \mathbb{1}_H$ , we have  $\Phi(\mathbb{1}_H) = \mathbb{1}_{\tilde{H}}$ , hence the inequality becomes

## Remarks

- The inequality

$$\operatorname{tr}[\Phi^\dagger(X^{1-t}Y^t)] \leq \operatorname{tr}[\Phi^\dagger(X)^{1-t}\Phi^\dagger(Y)^t]$$

seems a Hölder inequality  $p = 1/(1-t)$  and  $p' = 1/t$ .

- By monotonicity of operator means, we already have

$$\Phi^\dagger(X\sharp_t Y) \leq \Phi^\dagger(X)\sharp_t\Phi^\dagger(Y),$$

- But  $X$  and  $Y$  do not necessarily commute. The main idea is to move to a “higher” level to partially restore commutativity.
- The **concavity** version of Lieb's theorem states that

$$(A, B) \mapsto \operatorname{tr}[K^*A^{1-t}KB^t]$$

is concave on  $\mathcal{O}_{\geq 0}(H) \times \mathcal{O}_{\geq 0}(H)$ . To deduce it from the monotonicity version, use  $\Phi^\dagger = \operatorname{tr}_{\mathbb{C}^2}$  on  $H \otimes \mathbb{C}^2$  with

$$X = \begin{pmatrix} A_0 & 0 \\ 0 & A_1 \end{pmatrix}, \quad Y = \begin{pmatrix} B_0 & 0 \\ 0 & B_1 \end{pmatrix}, \quad K = \begin{pmatrix} K & 0 \\ 0 & K \end{pmatrix}.$$



# Proof



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# Uncertainty inequality for Pauli operators

Consider a pure density operator  $\rho \in \mathcal{S}(\mathbb{C}^2)$  on a single qubit system.

- Write explicitly the matrix uncertainty inequality for the Pauli operators, in terms of the vector  $b = b(\rho)$  of the Bloch parametrization..
- Investigate when equality may occur.
- What about equality cases in the Schrödinger-Robertson inequality for a pair of Pauli operators?

# Lieb-Ruskai monotonicity theorem

Let  $H, \tilde{H}$  be finite-dimensional quantum systems,

- 1 let  $\Phi : \mathcal{L}(H) \rightarrow \mathcal{L}(\tilde{H})$  be CP,
- 2  $K \in \mathcal{L}(H)$
- 3  $X \in \mathcal{O}_{>0}(H)$  be positive and invertible such that  $\Phi(X)$  is also invertible.

Then,

$$\Phi(K)^* \Phi(X)^{-1} \Phi(K) \leq \Phi(K^* X^{-1} K).$$

## A variant of the Schur complement lemma

Let  $H$  be a finite dimensional Hilbert space,  $X, Y \in \mathcal{O}_>(H)$ ,  $K \in \mathcal{L}(H)$ . Then, the operator  $M \in \mathcal{O}(H \otimes \mathbb{C}^2)$  represented by the block matrix

$$M = \begin{pmatrix} X & K \\ K^* & Y \end{pmatrix}$$

is positive if and only if there exists  $Z \in \mathcal{L}(H)$  with operator norm

$$\|Z\| \leq 1, \quad \text{i.e. } Z^*Z \leq \mathbb{1}_H$$

such that

$$K = \sqrt{X}Z\sqrt{Y}.$$

## Operator means with negative $t$

One can extend the definition of  $A\sharp_t B$  for any  $t \in \mathbb{R}$ , provided that  $A, B \in \mathcal{O}_>(H)$  are positive and invertible. It turns out that monotonicity inequalities hold true also in the range  $t \in [-1, 0] \cup [1, 2]$  (with a reverse inequality than the case  $t \in [0, 1]$ ).

- 1 Show that, for every  $A, B, C \in \mathcal{O}_>(H)$ ,  $s, t \in \mathbb{R}$ ,

$$C\sharp_s A \leq C\sharp_t B \iff C\sharp_{-s} A \geq C\sharp_{-t} A$$

- 2 Show that, for  $t \in [0, 1]$ , given  $A, B, T \in \mathcal{O}_>(H)$ , the inequality

$$A\sharp_{-t} B \leq T$$

is equivalent to the following condition:

there exists  $W \in \mathcal{O}(H)$  such that  $A\sharp_t B \geq W$  and  $M = \begin{pmatrix} T & A \\ A & W \end{pmatrix} \geq 0$ .

(Hint: write  $A\sharp_t B = A\sharp_{-1}(A\sharp_t B)$  and notice that  $A\sharp_t B = AB^{-1}A$ .)

- 3 Deduce that, for  $t \in [-1, 0] \cup [0, 1]$  and for every CP map  $\Phi : \mathcal{L}(H) \rightarrow \mathcal{L}(K)$  and  $A, B \in \mathcal{O}_>(H)$ , the inequality

$$\Phi(A\sharp_t B) \geq \Phi(A)\sharp_t \Phi(B)$$

# Lieb's theorem for negative exponents

Let  $H, \tilde{H}$  be finite dimensional quantum systems, let

- $\Phi : \mathcal{L}(H) \rightarrow \mathcal{L}(\tilde{H})$  be a quantum channel from  $H$  to  $\tilde{H}$
- $X, Y \in \mathcal{O}_{>}(H)$  be positive,
- $K \in \mathcal{L}(H)$
- and  $t \in [0, 1]$ .

Then,

$$\mathrm{tr}[\Phi(K)^* \Phi(X)^{t-1} \Phi(K) \Phi(Y)^{-t}] \leq \mathrm{tr}[K^* X^{1-t} K Y^t],$$

provided that  $\Phi(X), \Phi(Y)$  are invertible.