

ETA 7/10/14

$K$  simplicial complex : simplex in  $\mathbb{R}^n$

$$\left( C_n(K), \partial_n \right)_{n=0}^{+\infty} \quad \begin{array}{l} \mathbb{Z}_n(K), B_n(K) \\ \leadsto H_n(K) \end{array}$$

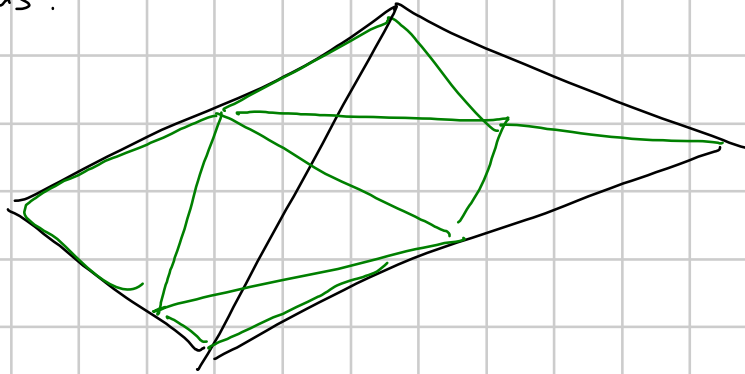
• indep of orient / isomorphism

•  $\Delta$  subdivision of  $K$  then  $H_n(K) \xrightarrow{\cong} H_n(\Delta)$

$H_n(K) = H_n(LK)$  follows from:

Thm.  $|K_1| = |K_2| \Rightarrow \exists$  common subdivision.

Not obvious:

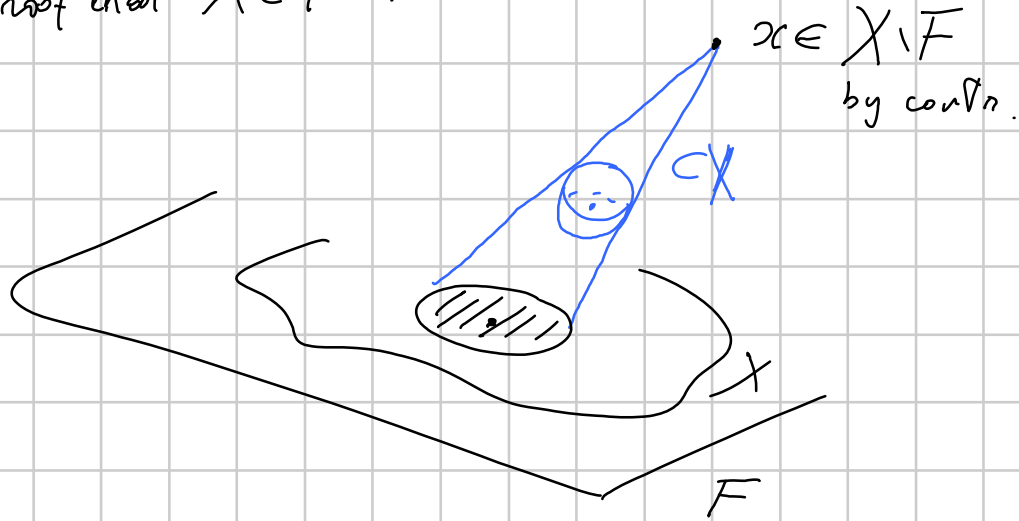


Tool: polytopal complexes

Lemma:  $X \subset \mathbb{R}^N$  convex  $\Rightarrow \exists!$   $\Delta(X)$  affine subspace  
of maximal dimension among  $F$ 's such  
that  $\text{int}_F(X \cap F) \neq \emptyset$ ; moreover  
 $X \subset \Delta(X)$ .

Pf: exclude  $X = \emptyset$ . Take  $F$  of  
max dim. with  $\text{int}_F(X \cap F) \neq \emptyset$ . Enough to show  
that  $X \subset F$ : otherwise if some  $F' \neq F$   
exists we have  $X \subset F \cap F'$  but  $\text{int}_{F'}(F \cap F') = \emptyset$ .

Proof that  $X \subset F$ :



contradicts maximality of  $\text{Int}(F)$  -

□

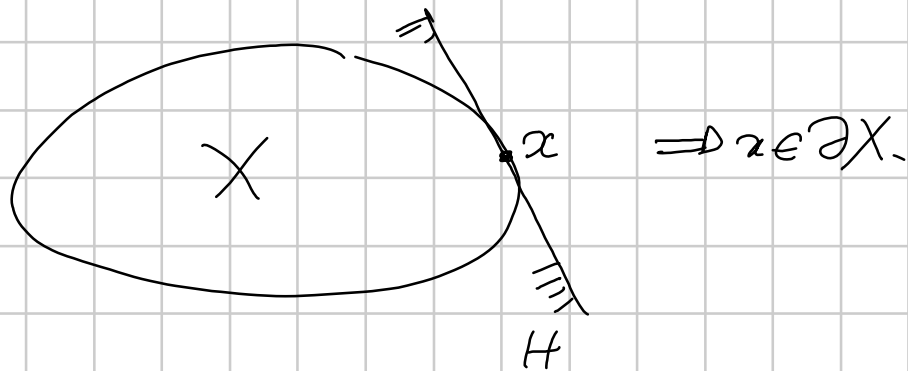
Def: if  $X \subset \mathbb{R}^N$  convex a half-space  $H$   
is a support half-space for  $X$  if  $X \subset H$ .

Lem:  $X \subset \mathbb{R}^N$   
convex + closed,  
 $\text{int}(X) \neq \emptyset$

$\Rightarrow \partial X = \bigcup \{ X \cap \partial H : H \text{ support half-space} \}$

Proof:  $\supset$  obvious:

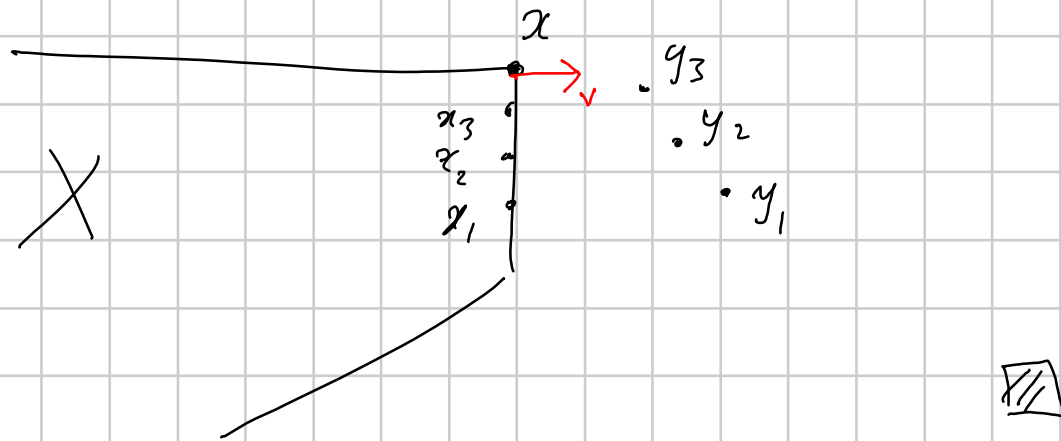




C take  $x \in \partial X$ ;  $\exists y_m$  s.t.  
 $y_m \rightarrow x$   $y_m \notin X$  - Take  
 $x_m =$  closest point to  $y_m$  of  $X$

Up to taking subsequences we have  $\frac{y_n - x_n}{\|y_n - x_n\|} \rightarrow v$

and one sees that  $x + r^\perp$  is the boundary of a support half-space for  $X$ :



Def: A convex polytope is  $\text{Conv}(p_1, \dots, p_k) \subset \mathbb{R}^n$ ;

A face of a polytope  $X$  with  $\text{int}(X) \neq \emptyset$

is  $X \cap \partial H$  for  $H$  support half-space.

LEM:  $\text{Conv}(p_1, \dots, p_k) = \left\{ \sum_{i=1}^k t_i p_i : \sum_{i=1}^k t_i = 1, t_i \geq 0 \right\}$

(Pf as for simplices:  $\subset$  because RHS is convex & contains  $p_1, \dots, p_k$

$\supset$  by induction on  $\#\{i : t_i \neq 0\}$ .)



Lemma:  $p_0 \in \text{Conv}(p_1, \dots, p_k) \Leftrightarrow \text{Conv}(p_0, \dots, p_k) = \text{Conv}(p_1, \dots, p_k)$

Pf.:  $\Leftarrow$  : obvious

$$\Rightarrow \quad p_0 = \sum_{i=1}^k \Delta_i p_i$$

$$\rightarrow \sum_{j=0}^k t_j p_j = \sum_{j=1}^k (t_0 \Delta_j + t_j) p_j$$

convex combination



Prop: given  $p_1, \dots, p_k \in \mathbb{R}^N \exists!$   
 $\{v_1, \dots, v_h\} \subset \{p_1, \dots, p_k\}$  minimal  
and such that  $\text{Conv}(v_1, \dots, v_h) = \text{Conv}(p_1, \dots, p_k)$

Pf: Using Lem 4 remove one after each other  
 $p_j$ 's that belong to  $\text{Conv}$  (pts not yet removed).  
Eventually we get minimal set  $v_1, \dots, v_h$   
with  $\text{Conv}(v_1, \dots, v_h) = \text{Conv}(p_1, \dots, p_k)$ .  
Uniqueness: follows from

Claim: the  $v_j$ 's are precisely the pts of  
 $X := \text{Conv}(p_1, \dots, p_k) = \text{Conv}(v_1, \dots, v_k)$   
 that do not belong to the interior of  
 any segment with ends in  $X$ .

Pf of claim: suppose by contradiction that  
 $v_1 \in \text{int}(x, x')$   $x, x' \in X$  i.e.

$$v_1 = \lambda \cdot x + (1-\lambda) \cdot x' = \lambda \cdot \sum_{i=1}^h t_i v_i + (1-\lambda) \sum_{i=1}^h t'_i v_i$$

$0 < \lambda < 1$                        $\begin{matrix} \# \\ v_1 \end{matrix}$                        $\begin{matrix} \# \\ v_1 \end{matrix}$

$$\Rightarrow t_1 < 1 \quad t'_1 < 1$$

$$\Rightarrow st_1 + (1-s)t'_1 < 1$$

moving  $(st_1 + (1-s)t'_1) \cdot v_1$  to LHS we find  
 $v_1 \notin \text{Conv}(v_2, \dots, v_n)$  : contradiction

Conversely ; must show that any  $y \in X$  that is  
not one of the  $v_i$ 's belongs to  $\text{int}(X, X')$  :

$$y = \sum_{i=1}^n t_i v_i ; y \in \{v_1, \dots, v_n\} \Rightarrow \text{wlog } 0 < t_1 < 1$$

$$\Rightarrow y = t_1 \cdot v_1 + (1-t_1) \cdot \sum_{i=2}^n \frac{t_i}{1-t_1} \cdot v_i$$

$\uparrow$   
 $X$

$\overbrace{\quad\quad\quad}$   
convex comb.

$\Rightarrow \in X$   $\square$

Def:  $X$  convex polytope; face of  $X$  is  
 $X \cap \partial H \neq \emptyset$  with  $H$  support half-space.

LEM: any face of  $X$  is a convex polytope.

Pf: Let  $v_1, \dots, v_n$  be the vertices of  $X$ ;

suppose  $H = \{x \in \mathbb{R}^n : x_i \geq 0\}$ ; wlog

$$x_i(v_j) \begin{cases} = 0 & j=1 \dots p \\ > 0 & j=p+1 \dots n \end{cases}$$

Claim  $X \cap \partial H = \text{Conv}(v_1, \dots, v_p)$   
(whence conclusion) —

$\supset$  : obvious

$$\subset : \text{if } x \in X, x = \sum_{i=1}^k t_i v_i$$

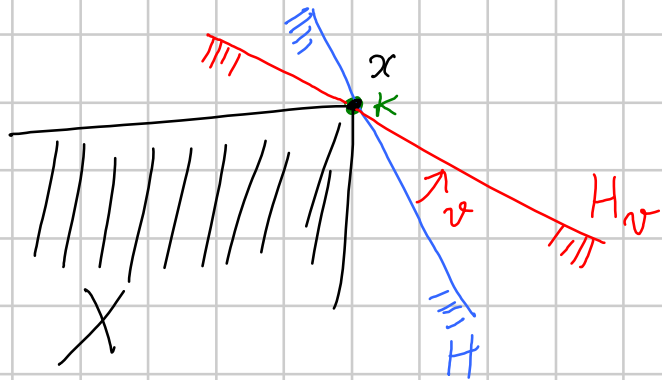
$$\alpha_i = 0 \Leftrightarrow t_i = 0 \text{ for } i > p. \quad \square$$

Def:  $\dim(X) := \dim(\text{aff}(X))$  -

LEM:  $X$  convex polytope in  $\mathbb{R}^n$ ;  $\text{int}(X) \neq \emptyset$   
 $\Rightarrow \partial X = \cup (\text{codimension } - 1 \text{ faces})$  -

Pf: we know:  $\partial X$  is a union of faces -  
Must show: codim-1 faces suffice -

Let  $x \in \partial X$ ;  $\exists H$  support with  
 $x \in X \cap \partial H$ ; if  $\dim(X \cap \partial H) = N-1$  ok;  
 otherwise  $\exists W$  codim-2 subspace of  $\mathbb{R}^N$ ,  
 with  $X \cap \partial H \subset W$ .

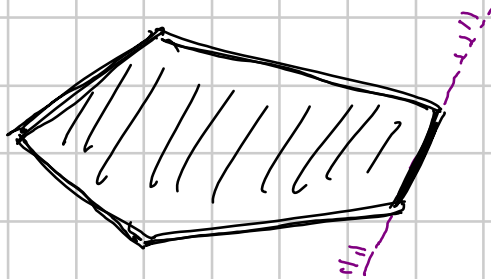




Easy:  $H_v$  support half-space for  $|v| \ll 1$ .  
Take  $v_0 = \min \{v > 0 : \partial H_v \cap X \neq \emptyset\}$ .

We can replace  $H$  by  $H_{v_0}$ ,  
 $\dim(X \cap \partial H_{v_0}) \geq 1 + \dim(X \cap \partial H)$

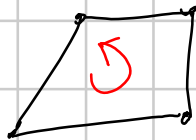
$\Rightarrow$  eventually we get to  $N-1$ .



Now we can:

- Define an orientation of  $X$  as one of  $\mathfrak{A}(X)$

- Define induced orientation on  $\text{codim}-1$  faces (outer normal first)



- Show that  $Y \subset X$  face of  $\text{codim} 2$   
 $\implies \exists Z_1, Z_2$   $\text{codim} 1$  faces

with  $Y = Z_1 \wedge Z_2$  and

$$X \rightsquigarrow Z_1 \rightsquigarrow Y$$

$$X \rightsquigarrow Z_2 \rightsquigarrow Y$$

opposite orientations

- Define polytopal complex in  $\mathbb{R}^N$ :  
finite collection of polytopes "closed under  
taking faces and intersections";

- $C_n(K) = \mathbb{Z} \langle X^{[n]} \rangle$

all  $X$ 's  
oriented

$$\partial_n : C_n(K) \rightarrow C_{n-1}(K)$$

$$\partial_n X = \sum_{Y \in K^{(n-1)}} \varepsilon(X, Y) \cdot Y$$

$$\leadsto Z_n(K), B_n(K) \rightarrow H_n(K)$$

- Independence of orientations
- Define subdivision + show that if  $\mathcal{L}$  subdivides  $K$  then

$$\exists H_n(K) \xrightarrow{\cong} H_n(L) -$$

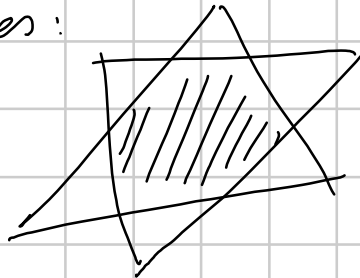
Left: Thm 2\*:  $K_1, K_2$  polytopal  
complexes,  $|K_1| = |K_2|$   
 $\Rightarrow \exists$  common subdivision -

(Actually: Thm 2\* also implies Thm 2 -)

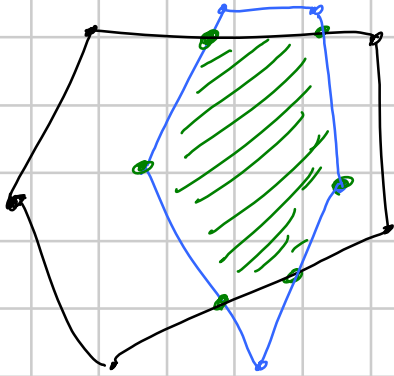
To prove Thm 2\* it is enough to show:

Prop:  $X, Y$  polytopes  $\Rightarrow X \cap Y$  also is

Rem: Also for simplices:



Rem: "not obvious": what are the vertices?



Why enough for Thm 2\* :  $|K_1| = |K_2|$

$$\mathcal{L} = \{ \underbrace{X_1 \cap X_2}_{\text{by Prop. this is polytope}} : X_j \in \mathcal{K}_j \}$$

→ easy to see that  $\mathcal{L}$  is polytopal complex  
and subdivides  $\mathcal{K}_1$  &  $\mathcal{K}_2$ .

Prop. easily follows from :



LEM:  $X \subset \mathbb{R}^N$

$X$  convex polytope  $\Leftrightarrow$

$X$  bounded & a  
finite intersection  
of closed half-spaces.

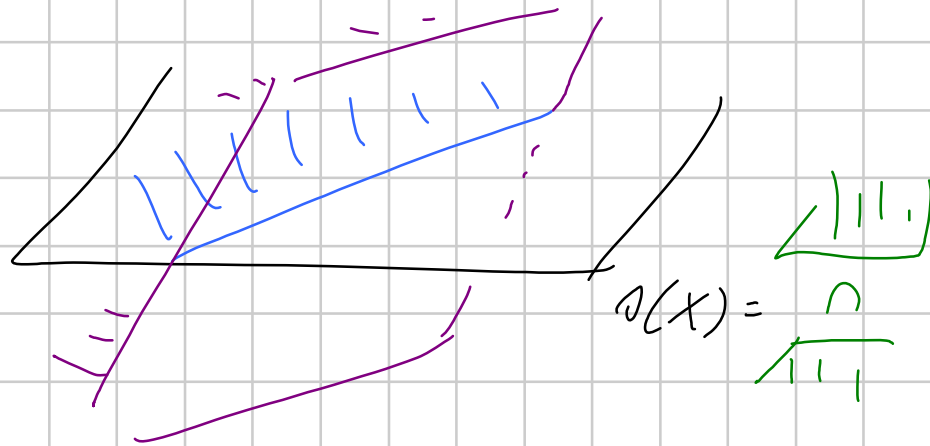
(Enough for Prop because  
closed under  $\cap$ ).

Pf.  $\Rightarrow$  bounded  $\checkmark$

Wlog I can assume  $\Delta(X) = \mathbb{R}^N$  i.e.  $\text{int}(X) \neq \emptyset$ .

because if I know it's true in this case, then it's true in  $\Delta(X)$  but

- $\Delta(X)$  is an intersection of closed half-spaces
- $H \cap \Delta(X)$  closed half-space is  $\Delta(X) \cap H'$   
with  $H' \subset \mathbb{R}^N$  closed half-space

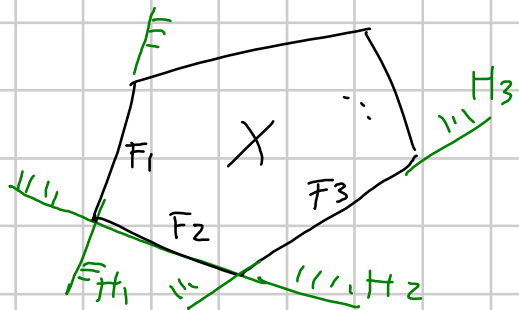


So: suppose  $\text{int}_{\mathbb{R}^n}(X) \neq \emptyset$ ,  $X = \text{Conv}(v_1, \dots, v_h)$   
 vertices - We know each face is

$\text{Conv}(v_{i_1}, \dots, v_{i_p})$  for some  $\{i_1, \dots, i_p\} \subset \{1, \dots, h\}$   
(not conversely)

so  $X$  has a finite number  $q$  of codimension-1  
faces  $X \cap \partial H_j$   $j=1, \dots, q$  -

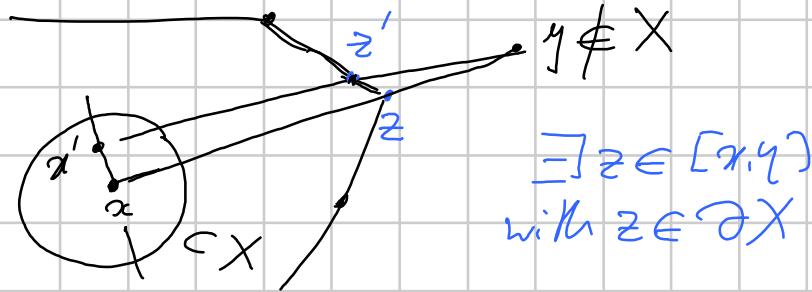
Claim:  $X = H_1 \cap \dots \cap H_q$  - This concludes  
the proof of  $\Rightarrow$  -



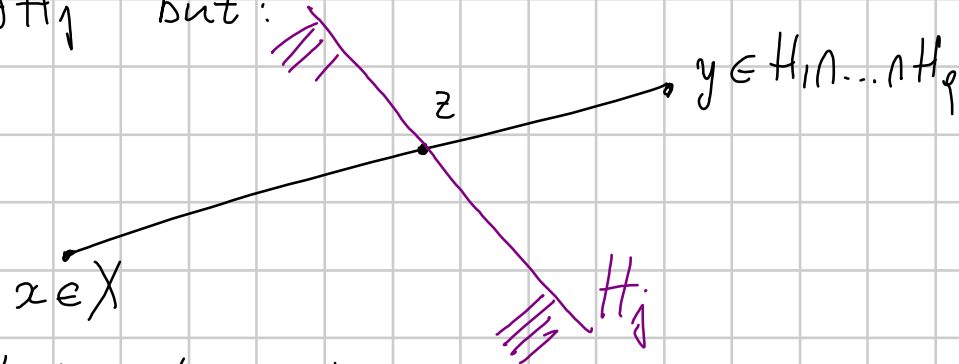
Claim:  $X = H_1 \cap \dots \cap H_q$ .

C: obvious because  $X \subset H_j$ .

D: by contradiction let  $y \in H_1 \cap \dots \cap H_q$ ,  
 $y \notin X$ . We know  $\text{int}(X) \neq \emptyset$ ;  
take  $x \in \text{int}(X)$  and  $[x, y]$ .



Actually: up to perturbing  $x$  on  $X \cap (y-x)^\perp$   
we can assume  $z \notin$  any codim-2 face  
 $\Rightarrow z$  belongs to a unique codim-1 face  
 $X \cap \partial H_j$  but:



contradiction to  $y \in H_j$ .

$\Leftarrow$   $X$  bounded finite intersection of  
half-spaces  $\Rightarrow X$  convex polytope.

Wlog: can assume  $\text{int}(X) \neq \emptyset$  (Exercise)

Now: by induction on  $N$ .

$N=1$  :  $X = [a, b] = \text{Conv}(a, b)$ .

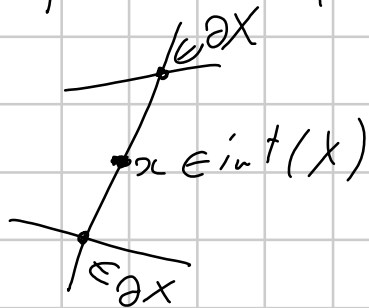
$N > 1$ : Suppose  $X = H_1 \cap \dots \cap H_q$ ;

we claim  $\partial X = (X \cap \partial H_1) \cup \dots \cup (X \cap \partial H_q)$ .

This is enough because:

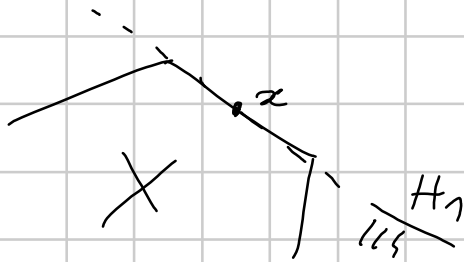
- by induction  $X \cap \partial H_i$  is convex polytope
- $X = \text{Conv}(\partial X)$ :

(uses boundedness)





Pr of claim:  $\supset$  obvious:  
if  $x \in X \cap \partial H_j$   
 $\Rightarrow x \in \partial X$



$\subset$ : Suppose  
 $x \in X$ ,  $x \notin \partial H_j$  for all  $j$   
 $\Rightarrow x \in \text{int}(H_j)$   $j = 1 \dots g$

$$x \in \text{int}(H_1 \cap \dots \cap H_n) = \text{int}(X)$$

$$\Rightarrow x \notin \partial X$$

