

# Algebraic and enumerative invariants of finite graded bounded posets

## Lecture 3: The $\omega$ -transform, QSym/Sym, and Schur functions

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Based on joint work with

Galen Dorpalen-Barry, Elena Hoster,  
Josh Maglione, and Lorenzo Vecchi.

I will tell the story and collect  
precise credits at the end.

# The three lectures

L1. Flag enumeration, labelings, and extended ab-positivity

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graph TD; L1[L1. Flag enumeration, labelings, and extended ab-positivity] --> L2[L2. Chow polynomials,  $\gamma$ -positivity, and real-rootedness]; L2 --> L3[L3. The  $\omega$ -transform on quasi-symmetric functions, and Schur functions];
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L2. Chow polynomials,  $\gamma$ -positivity, and real-rootedness

L3. The  $\omega$ -transform on quasi-symmetric functions, and Schur functions

## Recap: the extended ab-index

Let  $P$  be finite graded bounded of rank  $n$ .

Lecture 1:

$$\begin{aligned}\Psi_P(\mathbf{a}, \mathbf{b}) &= \sum_{\mathcal{C}} \text{wt}_{\mathcal{C}}(\mathbf{a}, \mathbf{b}) \\ &= \sum_S \alpha_P(S) \text{wt}_S = \sum_S \beta_P(S) u_S\end{aligned}$$

$$\text{ex } \Psi_P(y; \mathbf{a}, \mathbf{b}) = \sum_{\mathcal{C}} \pi_{\mathcal{C}}(y) \text{wt}_{\mathcal{C}}(\mathbf{a}, \mathbf{b}).$$

For  $\Pi_3$ :

$$\Psi_{\Pi_3} = \mathbf{a} + 2\mathbf{b} \quad \text{ex } \Psi_{\Pi_3}(y; \mathbf{a}, \mathbf{b}) = (1 + 3y + 2y^2)\mathbf{a} + (2 + 3y + y^2)\mathbf{b}.$$

# Recap: the Chow polynomial

Lecture 2:

$$H_P(x) = \sum_{\hat{0} < p \leq \hat{1}} \bar{\chi}_{[\hat{0}, p]}(x) H_{[p, \hat{1}]}(x), \quad H_{\{\hat{1}\}}(x) = 1.$$

The extended ab-index evaluation gives

$$H_P(x) = \text{ex } \Psi_P(-x; 1, x) \cdot (1 - x)^{-\text{rk}(P)}$$

and if  $P$  admits an R-labelling

$$H_P(x) = \sum_{\substack{\mathcal{M} \text{ maximal} \\ \{0\} \cup \text{Des}(\mathcal{M}) \text{ isolated}}} x^{|\text{Des}(\mathcal{M})|} (1 + x)^{n-1-2|\text{Des}(\mathcal{M})|}.$$

For example,

$$H_{B_3}(x) = 1 + 4x + x^2, \quad H_{\Pi_3}(x) = 1 + x.$$

## Recap: the $\omega$ -transform

The word-level rule was:

first replace every occurrence

$$\mathbf{ab} \mapsto (1 + y)\mathbf{ab} + y(1 + y)\mathbf{ba},$$

then replace all remaining letters by

$$\mathbf{a} \mapsto \mathbf{a} + y\mathbf{b}, \quad \mathbf{b} \mapsto \mathbf{b} + y\mathbf{a}.$$

### **Theorem (Hoster–St–Vecchi 2025)**

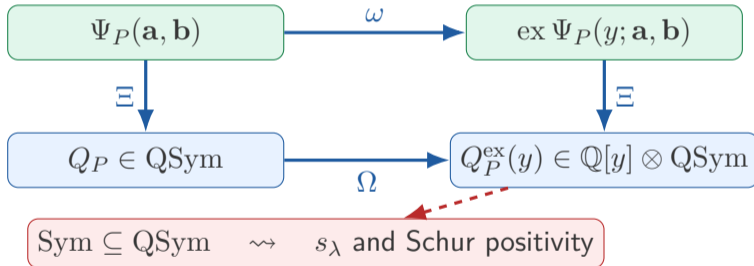
For every finite graded bounded poset  $P$ ,

$$\text{ex } \Psi_P(y; \mathbf{a}, \mathbf{b}) = (1 + y)\omega(\Psi_P(\mathbf{a}, \mathbf{b})).$$

# Story of Lecture 3

We now translate:

$$\mathbb{Q}\langle \mathbf{a}, \mathbf{b} \rangle \rightsquigarrow \text{QSym}, \quad \mathbb{Q}[y]\langle \mathbf{a}, \mathbf{b} \rangle \rightsquigarrow \mathbb{Q}[y] \otimes \text{QSym}.$$

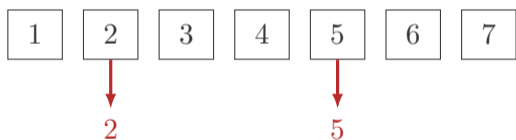


The  $\omega$ -transform interacts nicely with (quasi-)symmetric functions.

## From subsets to compositions

Fix degree  $n$  and  $S = \{s_1 < \dots < s_k\} \subseteq [n-1]$ . The associated integer composition is

$$\mathbf{c}(S) = (s_1, s_2 - s_1, \dots, s_k - s_{k-1}, n - s_k), \quad \mathbf{c}(\emptyset) = (n).$$



$$S = \{2, 5\} \subseteq [6]$$

$$\mathbf{c}(S) = (2, 3, 2)$$

This is the same data as a rank set, an ab-word, or a fundamental QSym index.

# Monomial and fundamental quasisymmetric functions

$$M_{\mathbf{c}} = \sum_{i_1 < \dots < i_k} x_{i_1}^{c_1} \cdots x_{i_k}^{c_k}, \quad \mathbf{c} = (c_1, \dots, c_k) \quad \text{wt}_S \longleftrightarrow M_S$$

For  $S \subseteq [n-1]$ , write  $M_S := M_{\mathbf{c}(S)}$ . The fundamental basis is

$$F_S = \sum_{T \supseteq S} M_T \quad u_S = \sum_{T \supseteq S} \text{wt}_T \longleftrightarrow F_S.$$

$M_S$  corresponds to flag  $f$ -coordinates.

$F_S$  corresponds to flag  $h$ -coordinates.

# Moving from 2 non-commuting variables to quasi-symmetric functions

Define a vector-space isomorphism

$$\Xi : \mathbb{Q}\langle \mathbf{a}, \mathbf{b} \rangle_{n-1} \longrightarrow \text{QSym}_n$$

by

$$\begin{aligned} \text{wt}_S &\longmapsto M_S && \text{or, equivalently,} \\ u_S &\longmapsto F_S. \end{aligned}$$

The identities

$$\Psi_P = \sum_S \alpha_P(S) \text{wt}_S = \sum_S \beta_P(S) u_S$$

become the monomial and fundamental expansions of the same QSym function.

# The QSym invariant of a poset

Set  $Q_P := \Xi(\Psi_P(\mathbf{a}, \mathbf{b}))$ . Then:

The identities

$$\Psi_P = \sum_S \alpha_P(S) \text{wt}_S = \sum_S \beta_P(S) u_S$$

become the identities

$$Q_P = \sum_S \alpha_P(S) M_S = \sum_S \beta_P(S) F_S$$

## Example: $\Pi_3$ in QSym

For  $\Pi_3$  we have

$$\Psi_{\Pi_3}(\mathbf{a}, \mathbf{b}) = \mathbf{a} + 2\mathbf{b}.$$

We consider  $n = 2$  being the rank of  $\Pi_3$  and thus subsets of  $\{1\}$ :

$$Q_{\Pi_3} = F_{\emptyset} + 2F_{\{1\}}.$$

$$F_{\emptyset} = M_{\emptyset} + M_{\{1\}}$$

$$F_{\{1\}} = M_{\{1\}}$$

This example now lives in QSym.

## The extended QSym invariant

$$\Xi : \mathbb{Q}[y]\langle \mathbf{a}, \mathbf{b} \rangle_{n-1} \longrightarrow \mathbb{Q}[y] \otimes \text{QSym}_n,$$

$$\text{ex } \Psi_P(y; \mathbf{a}, \mathbf{b}) \longmapsto Q_P^{\text{ex}}(y).$$

For  $\Pi_3$ ,

$$Q_{\Pi_3}^{\text{ex}}(y) = (1 + 3y + 2y^2)F_{\emptyset} + (2 + 3y + y^2)F_{\{1\}}.$$

## Transporting $\omega$ to $\mathbb{Q}\text{Sym}$

The word-level  $\omega$ -transform gives a linear map

$$\Omega : \mathbb{Q}\text{Sym}_n \longrightarrow \mathbb{Q}[y] \otimes \mathbb{Q}\text{Sym}_n$$

by transporting  $\omega$  through  $\Xi$ :

$$\Omega(F_S) := (1 + y) \Xi(\omega(u_S)).$$

Thus

$$Q_P^{\text{ex}}(y) = \Omega(Q_P).$$

# The internal coproduct on QSym

For two ordered alphabets  $X, Y$ , let  $XY$  be the lexicographically ordered alphabet of products  $x_i y_j$ . The internal coproduct is defined by

$$f[XY] = \sum_i g_i[X] h_i[Y], \quad \Delta(f) = \sum_i g_i \otimes h_i.$$

Gessel's formula (composition  $(\sigma\tau)(i) = \sigma(\tau(i))$ ):

$$\Delta(F_{\text{Des}(\pi)}) = \sum_{\tau\sigma=\pi} F_{\text{Des}(\sigma)} \otimes F_{\text{Des}(\tau)}.$$

This is the Kronecker coproduct on QSym.

## A QSym formula for $\Omega$

Define the linear functional  $\varphi_y : \text{QSym}_n \rightarrow \mathbb{Q}[y]$  by

$$\varphi_y(F_S) = \begin{cases} y^k, & S = \{1, 2, \dots, k\} \text{ for some } 0 \leq k \leq n-1, \\ 0, & \text{otherwise.} \end{cases}$$

**Liu's QSym formula (2024)**

$$\Omega = (1 + y) (\text{id} \otimes \varphi_y) \circ \Delta.$$

# Symmetric functions inside QSym

The ring of symmetric functions is a subring

$$\text{Sym} \subseteq \text{QSym}.$$

For  $\lambda \vdash n$ , the internal coproduct restricts to symmetric functions:

$$\Delta(s_\lambda) = \sum_{\mu, \nu \vdash n} g_{\lambda, \mu, \nu} s_\mu \otimes s_\nu.$$

The  $\Omega$ -transform connects to the representation theory of the symmetric group via the Kronecker coefficients  $g_{\lambda, \mu, \nu}$ .

# Schur-positivity theorem

## Theorem (Liu 2024)

$\Omega : \text{Sym} \longrightarrow \mathbb{Q}[y] \otimes \text{Sym}$  is Schur-positive, and for  $\lambda \vdash n$  we have

$$\Omega(s_\lambda) = (1 + y) \sum_{k=0}^{n-1} (s_\lambda * s_{(n-k, 1^k)}) y^k.$$

Here  $*$  is the internal, or Kronecker, product of symmetric functions.  
Michele D'Adderio and Giovanni Interdonato showed me this week:

## Theorem (D'Adderio–Interdonato 2026)

$$\Omega(s_\lambda)[X] = s_\lambda[X(1 - \epsilon y)] = s_\lambda[X - \epsilon y X] \in \mathbb{Q}[y] \otimes \text{Sym}$$

# Kronecker coefficients

Expanding Liu's theorem in the Schur basis gives, for  $\lambda \vdash n$ ,

$$\Omega(s_\lambda) = (1 + y) \sum_{\mu \vdash n} \left( \sum_{k=0}^{n-1} g_{\lambda, \mu, (n-k, 1^k)} y^k \right) s_\mu \in \mathbb{N}[y] \otimes \text{Sym}.$$

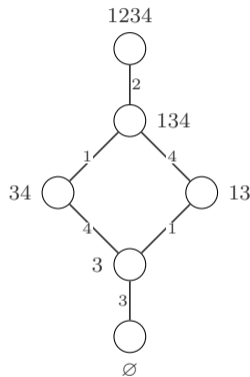
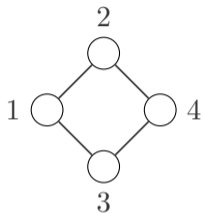
Since the Kronecker coefficients  $g_{\lambda, \mu, \nu} \in \mathbb{N}$  are nonnegative, the coefficients are in  $\mathbb{N}[y]$ .

One may compute:

$$\Omega(s_{(2,2)}) = (y + 2y^2 + y^3)(s_{(2,1,1)} + s_{(3,1)}) + (1 + y + y^3 + y^4)s_{(2,2)}.$$

# From ab-indices to P-partitions

Let  $J(P)$  be the distributive lattice of order ideals:



Maximal chains in  $J(P)$  are linear extensions of  $P$ ;  
the edge labels record the element added at each step.

# From P-partitions to Schur functions

Stembridge's P-partition construction realizes Schur functions from Ferrers posets.

For the labeled Ferrers poset of shape  $\lambda$ ,

1	2
3	4

Ferrers diagram  $\lambda = (2, 2)$

$$s_{\lambda} = \sum_{T \in \text{SYT}(\lambda)} F_{\text{Des}(T)}.$$

Standard Young tableaux are linear extensions !

# Schur functions via ab-indices

Using that standard Young tableaux are linear extensions, we obtain that

$$\Xi : \mathbb{Q}\langle \mathbf{a}, \mathbf{b} \rangle \rightarrow \mathbb{Q}\text{Sym}$$

$$\sum_{T \in \text{SYT}(\lambda)} u_{\text{Des}(T)} \mapsto s_\lambda$$

Schur functions are ab-indices inside  $\mathbb{Q}\text{Sym}$  !

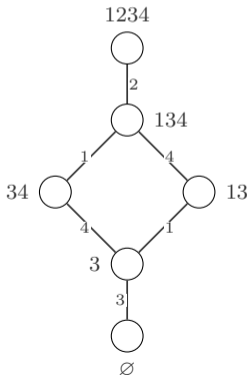
Example:  $\lambda = (2, 2)$

For  $\lambda = (2, 2)$ , the two standard tableaux give descent sets  $\{1, 3\}$  and  $\{2\}$ .

$$s_{(2,2)} = F_{\{1,3\}} + F_{\{2\}} = \Xi(\mathbf{bab} + \mathbf{aba}).$$

4	2
3	1

Ferrers poset  $\lambda = (2, 2)$



The two maximal chains have label words

3412,  
3142.

Thus

$$\begin{aligned} \text{Des}(3412) &= \{2\}, \\ \text{Des}(3142) &= \{1, 3\}. \end{aligned}$$

A direct computation gives

$$\begin{aligned} \Omega(s_{(2,2)}) &= (y + 2y^2 + y^3)(s_{(2,1,1)} + s_{(3,1)}) \\ &\quad + (1 + y + y^3 + y^4)s_{(2,2)}. \end{aligned}$$

## The third open problem

Is the extended ab-index related to known or new extensions of Schur polynomials?

More concretely:

$$\Xi(\Psi_P) \in \text{Sym} \implies \Xi(\text{ex } \Psi_P) = \Omega(\Xi(\Psi_P)) \in \mathbb{Q}[y] \otimes \text{Sym}.$$

If  $\Xi(\Psi_P)$  is Schur-positive, so is  $\Xi(\text{ex } \Psi_P)$  in  $\mathbb{Q}[y] \otimes \text{Sym}$ .

How does it relate to other deformations of Schur functions, such as

- ▶ Hall–Littlewood polynomials,
- ▶ Grothendieck polynomials,
- ▶ Schur  $P$ - and  $Q$ -functions, or
- ▶ Macdonald polynomials?

This piece of music was actually the

# Musical Epilogue

Thank you for listening!

# Gustav Mahler in Dobbiaco

**Gustav Mahler** (1860–1911) spent his last three summers, **1908–1910**, in **Toblach / Dobbiaco** — then Austria-Hungary, today South Tyrol, northern Italy. In a small *Komponierhäuschen* just outside the village he wrote **Das Lied von der Erde**, the **Ninth Symphony**, and sketches for the unfinished **Tenth**. Dobbiaco still honours him every summer with the **Gustav Mahler Music Weeks** in the **Kulturzentrum**.



*Das Lied von der Erde* — Kaufmann · Abbado ·  
Berliner Philh.



Kulturzentrum Grand Hotel, Dobbiaco

## References and credits

**Thank you for listening!**

- ▶ Dorpalen-Barry, Maglione, Stump: the Poincaré-extended ab-index and the QSym translation.
- ▶ Hoster, Stump, Vecchi: the identity  $ex \Psi_P = (1 + y) \omega(\Psi_P)$  for finite graded bounded posets.
- ▶ Gessel: quasisymmetric functions, P-partitions, and the internal coproduct formula.
- ▶ Stembridge: enriched P-partitions, peak algebra, and Schur functions from P-partitions.
- ▶ Ricky Ini Liu: proof of the Schur-positivity conjecture via hook Kronecker products.
- ▶ Grinberg and Vassilieva: related extended peak and enriched QSym structures.